**SUSTAINABLE INVESTMENT POLICY**

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 DOCUMENT HISTORY

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| --- | --- | --- | --- |
| Version | Author | Approved by | Date |
| 1.0  | University Compliance, Audit and Quality Assurance |  |  |

# PURPOSE

British University Vietnam (BUV) aims to be a leader in sustainability, integrating it into our operations, educational programs, research, and investments. This policy ensures our investments support our commitment to a climate-smart, nature-positive future and align with our sustainability goals.

# SCOPE

This policy applies to:

* All employees and departments of BUV.
* Suppliers, subcontractors, and business partners engaged in providing goods or services to BUV.
* Any entities involved in managing BUV’s investment activities.

# GLOSSARY OF TERMS

|  |  |
| --- | --- |
| **Terms** | **Definitions** |
| Sustainable Investment | Investments made with the intention to generate financial returns and positive impact on environmental, social, and governance (ESG) factors over the long term. |
| Environmental, Social, and Governance (ESG) Criteria | Standards for a company’s operations that socially conscious investors use to screen potential investments. |
| UN Sustainable Development Goals | A collection of 17 global goals designed to be a "blueprint to achieve a better and more sustainable future for all" set by the United Nations in 2015. |
| United Nations Principles for Responsible Investment (UNPRI) | A set of six principles that offer a menu of possible actions for incorporating ESG issues into investment practice. |

# POLICY STATEMENT

BUV is dedicated to advancing sustainable and responsible investment practices that reflect our commitment to environmental stewardship and social responsibility. This commitment permeates our investment strategies, guiding our selection of investments, fund managers, and representation processes to foster a sustainable global ecosystem.

# PRINCIPLES

Investments at BUV aim to optimize returns while supporting the university’s initiatives in line with our strategic objectives, prioritizing ESG integration to maintain portfolio resilience against sustainability challenges.

# POLICY PROVISIONS

**Investments**

* BUV will avoid investing in companies whose activities conflict with the UN Sustainable Development Goals, especially those related to climate action, aquatic and terrestrial life, poverty, hunger, education, gender equality, peace, justice, and sustainable cities.
* BUV will seek investment opportunities that support its sustainability initiatives, such as investments in renewable energy, biodiversity restoration, and technologies that foster sustainable development, while continuing to generate robust returns to fund a broad range of university activities.
* BUV will consider any recommendations from the University’s sustainability task force, which is expected to be established by the academic year 2025.

**Sustainable Investment**

* BUV commits to sustainable investment practices by managing its portfolio to exclude direct holdings in sectors such as fossil fuels, tobacco, and armaments.
* Driven by proactive engagement from the Investment Committee and key stakeholders, BUV's strategy includes transitioning to bespoke, climate-focused, and low-carbon equity funds, which significantly reduce the portfolio’s carbon footprint and enhance its sustainability profile.
* BUV's infrastructure investments include assets in renewable energy such as solar and wind and services in the specialized waste treatment and disposal sector.

# REPRESENTATION PROCESS

Staff and students are encouraged to engage with the policy via their representatives in the Environment and Sustainability Committee, which advises on all sustainability-related policies.

# RESPONSIBILITY AND IMPLEMENTATION

The Chief Financial Officer oversees the alignment of investments with this policy, supported by periodic audits and reports from investment managers.

# CONSEQUENCES OF BREACH

Violations of this policy may result in disciplinary action, contract termination, or legal steps to uphold BUV’s ethical investment standards.

# POLICY REVIEW

This policy will be reviewed annually or as required by changes in legislation or organizational priorities, ensuring it remains effective and relevant.

# APPROVALS

1. Policy development or review will be endorsed by Deputy Head of ASSA and approved by Pro-Vice Chancellor and Chief Operating Officer prior to implementation and execution.
2. Any exceptions to this policy shall be submitted in writing, using the exception template for appropriate approval.
3. This document is approved and effective from the date of signing:

|  |  |  |  |
| --- | --- | --- | --- |
| **Prepared by** | **Checked by** | **Confirmed by** | **Approved by** |
| **Nguyen Le Vy**Officer, Partner University Compliance | **Hoang Linh Chi**Associate Manager - University Compliance, Audit & Quality Assurance | **Tran Duc Trung**Deputy Head, ASSA | **Nguyen Thi Vinh Thuy**Pro-Vice Chancellor and Chief Operating Officer |

# RECORDS

|  |  |  |  |
| --- | --- | --- | --- |
| **Records****(What)** | **Medium****(How)** | **Responsibility****(Who)** | **Retention Period****(Active) (When)** |
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# REFERENCES

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